

Which RiseCert lesson answers which audit, insurance or regulatory question.

Find the requirement you're being asked about. Each row shows what the asker usually wants to see, the lesson that covers it, and the record you can hand over.

This map shows where RiseCert training produces evidence. It is not legal advice and does not by itself certify compliance — your auditor, carrier or counsel makes the final call.

COURSE KEY

SA Shadow AI: Safe Tool Usage & Company Policy

PD Recognizing AI-Enabled Phishing & Deepfakes

Policy AI policy template + sign-off

RAISE RAISE certification

REQUIREMENT	WHAT THE ASKER WANTS TO SEE	COVERED BY	EVIDENCE YOU HAND OVER
SOC 2 — auditors apply existing Trust Services Criteria to AI			
CC1.4 • CC2.2	Security awareness and communication of policies: AI-specific training records, not just general awareness	SA, all 15 lessons, plus PD (every employee)	Dated completion report; certificates with verify ID
CC6.7 • CC6.8	Data transmission and unauthorized disclosure: a written AI acceptable-use policy that staff have acknowledged	SA 1.3 “The Data Leakage Paths”, 2.2 “Prompt Hygiene Rules”, 3.1 “An AI Use Policy People Actually Follow” + AI policy template	Signed policy acknowledgment log
CC9.2	Vendor and partner risk: an inventory of AI tools in use and a review of each vendor	SA 3.4 “The Vendor AI Security Questions”; full depth in Evaluating AI Vendors & Tools (Tier 5)	Completion records; your AI tool inventory
Cyber insurance applications — questions vary by carrier			
Training	Security awareness training for all staff, with completion tracking	SA + PD with automatic reminders to 100%	Per-employee completion status
Social engineering	Staff trained on phishing, impersonation and funds-transfer fraud, now including AI deepfakes and voice clones	PD, all 15 lessons, incl. 2.3 “Payment & Data-Release Controls” and 2.4 “Executive Impersonation & BEC 2.0”	Completion + knowledge-check scores. Pair with your simulation tool if your carrier requires phishing tests
AI governance	“Do you have a formal AI acceptable-use policy?”	AI policy template + SA 3.1	Adopted policy + signed acknowledgment log

REQUIREMENT	WHAT THE ASKER WANTS TO SEE	COVERED BY	EVIDENCE YOU HAND OVER
Frameworks — voluntary, increasingly cited in questionnaires			
NIST AI RMF ▪ GOVERN 2.2	Personnel receive AI risk-management training	RAISE certification; AI Governance & Compliance Fundamentals (Tier 4)	RAISE certificate and exam record
ISO/IEC 42001 ▪ 7.2, 7.3	Competence and awareness of people working with AI	SA + PD + RAISE	Records of competence and awareness
US state AI laws — context for HR teams			
Colorado SB 26-189 ▪ Texas TRAIGA	No staff-training mandate. Colorado requires notices and human review for automated decisions from Jan 1, 2027	AI for HR & Recruiting (Tier 3)	Completion record for HR staff

Every row above comes in one package.

The AI Compliance Evidence Kit: employee safe-use training, RAISE certification for your AI owner, the acceptable-use policy with sign-off, and the audit-ready evidence pack.

risecert.org/teams